

## NOTICE OF ALLEGATIONS

to

Frank Haith

### Basketball Allegations

14. [NCAA Bylaw 11.1.2.1]

It is alleged that after June 10, 2010, through the time that his employment ended at the institution in March 2011, Frank Haith (Haith), then head men's basketball coach, failed to promote an atmosphere for compliance within the men's basketball program. Specifically, Haith was aware that Nevin Shapiro (Shapiro), a representative of the institution's athletics interests, threatened that unless Jake Morton (Morton), then assistant men's basketball coach, or Haith provided money to Shapiro, Shapiro would make public a claim that Shapiro provided money to assist in the recruitment of a men's basketball prospective student-athlete. After learning of the threat, Haith failed to alert anyone in the athletics department administration about Shapiro's threat, ask reasonable questions of Morton to ensure that Shapiro's claim lacked merit or disclose the fact that Morton engaged in financial dealings with Shapiro. Rather, Haith gave Morton funds that Morton then provided to Shapiro.